

**Account Equity**

ED&F Man Capital Markets Ltd  
 3 London Bridge Street  
 London SE1 9SG  
 United Kingdom

Account Number: CC:AMERIC-INVGR  
 Account Name : American Investment Group of NY  
 Date : 26-02-2015  
 Currency : USD

**Outstanding Calls**

Key	Type of Call	Status	Issue Date	Entry Date	Due Date	Amount (Original)	Amount (Open)	Cur
268804	Money due call	Issued	19-02-2015	19-02-2015	26-02-2015	1,064,724.53	1,064,724.53	USD
						1,064,724.53	1,064,724.53	

Total Account Value 542,319.41 Dr

**Financing Summary**

Unsettled Value of collateral at close	0.00 Cr
Unsettled Financing Valuation	0.00 Cr
Unsettled Financing Excess at close	0.00
Settled Value of collateral at close	0.00 Cr
Settled Financing Valuation	0.00 Cr
Settled Financing Excess at close	0.00
Total Financing Excess at close	0.00

Novozymes A/S

NZYMB DC

ISIN: DK0060336014

SEDOL: B798FW0

COUNTRY: DK

EX DATE: 26/02/2015

REC DATE: 27/02/2015

PAY DATE: 02/03/2015

CCY: DKK

GROSS RATE: 3.00

FEE: 0.00

DATE:

CZ / FI / NO / PL / SE

N/A

## AGENT POSITIONS

CLIENT ACCOUNT	CLIENT NAME	DIV %	LONG HLDNG	SHORT HLDNG	PAYABLE	RECEIVABLE	CLAIMS	NOTES
CC:AMERIC-INVGR	AMERICAN INVESTMENT GROUP OF NY L.P PENSION PLAN	73%	750,000		1,642,500.00			
CC:GOLDST-LAWGP	The Goldstein Law Group PC 401(K) Profit Sharing Plan FBO She	73%	558,000		1,222,020.00			
CC:LINDEN-ADBPL	Linden Associates Defined Benefit Plan	73%	557,000		1,219,830.00			
BR:0000000000000000	Equity Finance Mgmt Ltd (ZEB)	73%	-300,000		1,642,500.00			
CC:EDFDUB-EDFDU	ED&F Man Professional Trading (Dubai) Limited	73%		-750,000		-1,642,500.00		
BR:0000000000000000	Equity Finance Mgmt Ltd (ZEB)	73%		-300,000		-1,642,500.00		
LC:DENDKK-DIVDK	SEB Depot 05295142806	73%		-1,115,000		-2,441,850.00		
	TOTALS		2,615,000	-2,615,000	TOTALS	5,726,850.00	-5,726,850.00	

## SECURITIES LENDING POSITIONS

SL CLIENT ACCOUNT	SL CLIENT NAME	DIV %	LONG HLDNG	SHORT HLDNG	PAYABLE	RECEIVABLE	CLAIMS	NET STK LOAN AMNT	TRANSACTION ID	PYMT JRNL ID
BR:MACQUA-MACQU	MACQUARIE BANK LIMITED	85%	1,125,000		2,868,750.00					
BR:MACQUA-MACQU	MACQUARIE BANK LIMITED	73%		-30,000		-21,800.00	YES	2,846,850.00	643	348353
CC:POLLEN-FUNDO	POLLEN FUND LIMITED	73%	10,327,636		22,617,522.84					
CC:CLIENT-HEDGE	Client Hedges	73%	10,000		21,900.00					
CC:AUTOPA-AUTOP	Autoparts Pensions Group Trust	73%		-2,534,834		-5,550,410.46				
CC:CASTPE-GRPTR	Casting Pensions Group Trust	73%		-2,577,094		-5,643,704.46				
CC:CLIENT-HEDGE	Client Hedges	85%		-1,125,000		-2,868,750.00				
CC:CTECNO-00000	Central Technologies Pensions Group Trust	73%		-2,557,784		-5,601,546.96				
CC:INDUST-PENG	Industrial Pension Group Trust	73%		-2,658,384		-5,821,860.96				
	SL TOTALS		11,462,636	-11,462,636	TOTALS	25,508,172.84	-25,508,172.84			

## SWAP POSITIONS

SWP CLIENT ACCOUNT	SWP CLIENT NAME	DIV %	SWAP LONG	SWAP SHORT	PAYABLE	RECEIVABLE
			0	0	TOTALS 0.00	0.00

PREPARED:

DESK SIGN OFF:

OPS MANAGER SIGN OFF:

AGENT CASH RECEIVED

CLAIMS PAID

D1 JOURNAL POSTED

JOURNAL NUMBERS UPDATED

DATE: 06/03/15

FILE CLOSED

CLOSER:

ED&amp;F-00076402

CONFIDENTIAL

File Configuration Account Master Treasury Settlement Trading General Ledger Positions Price Operations Report Window Help

Journal ID	382120	Retrieve By:	Journal #	Origin	System	<input type="button" value="New"/>
Journal Header						
Journal ID	382120	As Of Date	Entry Date	As of Post Date	Post Date	Type
		03-03-2015	03-03-2015	03-03-2015	03-03-2015	User Adjustment
Description	CASH DW - NYLIB DC - P	External ID	(None)	Reverse ID		Source Origin
	6203/15					ORG Import
External ID		Reversal Type	(None)	Reverse ID		Status
Access or External ID		Never				Posted
		Always				Reverse Status
		Once				Not Applicable
		or ID				Reverse Date
						EOI
						<input type="button" value="Set New Info"/>
						<input type="button" value="Del Reversal"/>
<input type="button" value="New Journal"/> <input type="button" value="Copy Journal"/> <input type="button" value="Delete Journal"/> <input type="button" value="Post"/> <input type="button" value="Unpost"/>						
Journal Details Lines   Audit Trail Information   Authorization						
Detail Lines (2)						
<input type="button" value="Show Source"/>						

Seq	Asset	Country	Cur	Amount	Account ID	Account Name	Layer	Int Type	Cost	De:
1	1 DKK	(None)	DKK	1,642,500.0000 Dr	CO-CORPAC-CORPA	CORPORATE ACTIONS CONTROL AC	Cash	Unclassified Inventory Type		
2	2 DKK	(None)	DKK	1,642,500.0000 Cr	CC-AMERIC-INVGR	American Investors Group of NY LP Pension Plan		Unclassified Inventory Type		



ED &amp; F MAN CAPITAL MARKETS LIMITED

## Tax Voucher

We ED&F Man Capital Markets Ltd, based at Cotton's Centre, Hays Lane, London SE1 2QE and registered in the United Kingdom – confirm, Linden Associates Defined Benefit Plan – 5532 Lillehammer Lane, Park City, Utah, 84098, USA, was holding the below security over the dividend date.

Security Description:	Novozymes A/S
ISIN:	DK0060336014
SEDOL:	B798FW0
Ex Date:	26/02/2015
Record Date:	27/02/2015
Pay Date:	02/03/2015
Quantity:	557,000.00
Gross Div Rate:	3.00
Amount Received:	1,219,830.00
WHT Suffered:	451,170.00
Currency	DKK
WHT %:	27%

ED&F Man Capital Markets Limited has no beneficial interest in the holding and will not be reclaiming the tax. The dividends specified on this credit advice were paid net of withholding tax to Linden Associates Defined Benefit Plan. If you have any further concerns or issues please do not hesitate to contact us.

## AUTHORISED SIGNATORY

Christina MacKinnon

Head of Securities Operations



ED &amp; F MAN CAPITAL MARKETS LIMITED

## Tax Voucher

We ED&F Man Capital Markets Ltd, based at Cotton's Centre, Hays Lane, London SE1 2QE and registered in the United Kingdom – confirm, The Goldstein Law Group PC 401(K) Profit Sharing Plan FBO Sheldon and Scott Goldstein - Suite 1915, 61 Broadway, New York City, New York, 10006, USA, was holding the below security over the dividend date.

Security Description:	Novozymes A/S
ISIN:	DK0060336014
SEDOL:	B798FW0
Ex Date:	26/02/2015
Record Date:	27/02/2015
Pay Date:	02/03/2015
Quantity:	558,000.00
Gross Div Rate:	3.00
Amount Received:	1,222,020.00
WHT Suffered:	451,980.00
Currency	DKK
WHT %:	27%

ED&F Man Capital Markets Limited has no beneficial interest in the holding and will not be reclaiming the tax. The dividends specified on this credit advice were paid net of withholding tax to The Goldstein Law Group PC 401(K) Profit Sharing Plan FBO Sheldon and Scott Goldstein. If you have any further concerns or issues please do not hesitate to contact us.

### AUTHORISED SIGNATORY

Christina MacKinnon

Head of Securities Operations

Message

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**From:** Bottomley, Oliver (LDN) [obottomley@edfmancapital.com]  
**Sent:** 26/02/2015 09:49:46  
**To:** Stacey Kaminer [SK@acerinvest.com]  
**Cc:** LDN-EQUITYFINANCE-TRADS-DL [LDN-EQUITYFINANCE-TRADS-DL@edfmancapital.com]  
**Subject:** RE: NZYMB DC  
**Attachments:** 20150226TradeLogNJDBuy.pdf; 20150226TradeLogNJDBuy.pdf; \*\*CONFIRM\*\*

Liquidity found for unwinds T+2.  
Confirms attached.

Thanks  
Oliver

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**From:** Stacey Kaminer [mailto:[SK@acerinvest.com](mailto:SK@acerinvest.com)]  
**Sent:** 26 February 2015 07:40  
**To:** Bottomley, Oliver (LDN)  
**Cc:** LDN-EQUITYFINANCE-TRADS-DL  
**Subject:** Re: NZYMB DC

Looking for T+2.

Sent from my iPhone

On Feb 25, 2015, at 11:53 PM, Bottomley, Oliver (LDN) <[obottomley@edfmancapital.com](mailto:obottomley@edfmancapital.com)> wrote:

Morning Stacey,

I will look for some liquidity this morning and revert with confirms.

Thanks  
Oliver

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**From:** Stacey Kaminer [mailto:[SK@acerinvest.com](mailto:SK@acerinvest.com)]  
**Sent:** 25 February 2015 23:13  
**To:** Bottomley, Oliver (LDN)  
**Subject:** NZYMB DC

AIGPP would like to sell their position in NZYMB DC. They would also like to close out their future. Can EDF find liquidity to sell 750,000 shares?

Best,

Stacey

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Message

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**From:** Oliver Bottomley (E D & F MAN CAPITAL) [obottomley2@bloomberg.net]  
**Sent:** 26/02/2015 09:36:37  
**Subject:** \*\*CONFIRM\*\*

--- Original Sender: JAMIE FULLAWAY, MINT PARTNERS ---

\*\*CONFIRM\*\*

E D & F SELL

750,000 NZYMB DC

DKK 321.70

T+2

MANY THANKS,

JAMIE

\*SEB ACCOUNT

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Message

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**From:** Foster, Victoria (LDN) [vfoster@edfmancapital.com]  
**Sent:** 26/02/2015 09:38:47  
**To:** Henstock, Chris (DUB) [chenstock@edfmpt.ae]; Ireland, Freddie (LDN) [fireland@edfmancapital.com]  
**Subject:** RE: Trade for execution  
**Attachments:** 20150226TradeLogNJDSell.pdf; 20150226TradeLogNJDSell.pdf; CONFIRM (2.51 KB).msg

Chris

Confirms attached.

Thanks, Vic.

---

**From:** Henstock, Chris (DUB)  
**Sent:** 26 February 2015 08:54  
**To:** Foster, Victoria (LDN); Ireland, Freddie (LDN)  
**Subject:** Trade for execution

Hi Vic/ Freddie,

I am looking for liquidity in the following today, can you help?

Looking to BUY up to 750,000shs NZYMB DC, can you try and get me to Dkk 321.70

Looking to SELL up to 7,500 lots NJD 20 March 2015 ICE Clear Futures, based off a ref of Dkk 321.70 can you try and get me to Dkk 321.7113

Can you help here?

Regards,

Chris.

Chris Henstock  
Equity Finance Trader

E D & F Man Professional Trading (Dubai) Limited

Dubai International Financial Centre  
Office 14, Level 15, The Gate, P.O. Box 121208, Dubai, UAE  
Direct: +971 4 401 9676 | Mobile: +971 (0) 527 275463

[chenstock@edfmpt.ae](mailto:chenstock@edfmpt.ae) | [www.edfman.com](http://www.edfman.com)

Message

---

**From:** Victoria Foster (E D & F MAN CAPITAL) [vfoster4@bloomberg.net]  
**Sent:** 26/02/2015 09:32:47  
**Subject:** \*\*CONFIRM\*\*

--- Original Sender: JAMIE FULLAWAY, MINT PARTNERS ---

\*\*CONFIRM\*\*

E D & F BUY

750,000 NZYMB DC

DKK 321.70

T+2

MANY THANKS,

JAMIE

\*JPM ACCOUNT

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ovozymes A/S

NZYMB DC

ISIN: DK0060336014

SEDOL: B798FW0

COUNTRY: DK

EX DATE: 26/02/2015

REC DATE: 27/02/2015

PAY DATE: 02/03/2015

CCY: DKK

GROSS RATE: 3.00

FEE: 0.00

## GENT POSITIONS

BOD BREAKDOWN TO BE SENT TO AGENT FOR  
CZ / FI / NO / PL / SEDATE:  
N/A

CLIENT ACCOUNT	CLIENT NAME	DIV %	LONG HLDNG	SHORT HLDNG	PAYABLE	RECEivable	CLAIM?	NOTES
MERIC-INVGR	AMERICAN INVESTMENT GROUP OF NY L.P PENSION PLAN	73%	750,000		3,542,500.00			
OLDST-LAWGP	The Goldstein Law Group PC 401(K) Profit Sharing Plan PBO She	73%	558,000		4,222,020.00			
NDEN-ADBPL	Linden Associates Defined Benefit Plan	73%	557,000		1,219,830.00			
00004-00000	Equity Finance V.1 IDB (SEB)	73%	750,000		3,542,500.00			
DPDUB-EDFDU	ED&F Man Professional Trading (Dubai) Limited	73%		-750,000				-1,642,500.00
00004-00000	Equity Finance V.1 IDB (SEB)	73%		-750,000				-1,642,500.00
ENDKK-DIVDK	SEB Depot 05295142806	73%		-3,115,000				-2,441,850.00
	TOTALS		2,615,000	-2,615,000	TOTALS	5,726,850.00		-5,726,850.00

## SECURITIES LENDING POSITIONS

SL CLIENT ACCOUNT	SL CLIENT NAME	DIV %	LONG HLDNG	SHORT HLDNG	PAYABLE	RECEivable	CLAIM?	NET STK LOAN AMNT	PYMNT V/D	PYMNT JRNL ND
MACQUA-MACQU	MACQUARIE BANK LIMITED	85%	1,125,000		2,668,750.00			2,846,850.00	6/3	393353
MACQUA-MACQU	MACQUARIE BANK LIMITED	73%		-10,000				-21,900.00		
OLLEN-FUNDO	POLLEN FUND LIMITED	73%	10,327,636		22,617,522.84					
CLIENT-HEDGE	Client Hedges	73%	10,000		21,900.00					
UTOPA-AUTOP	Autoparts Pensions Group Trust	73%		-2,534,484				-5,560,410.46		
ASTPE-GRPTR	Casting Pensions Group Trust	73%		-2,577,034				-5,643,704.46		
CLIENT-HEDGE	Client Hedges	85%		-3,125,800				-2,868,750.00		
TECRO-00000	Central Technologies Pensions Group Trust	73%		-2,557,788				-5,601,586.06		
IDUST-PENGP	Industrial Pension Group Trust	73%		-2,688,384				-5,821,660.96		
	SL TOTALS		11,462,636	-31,462,636	TOTALS	25,508,172.84		-25,508,172.84		

## SWAP POSITIONS

SWP CLIENT ACCOUNT	SWP CLIENT NAME	DIV %	SWAP LONG	SWAP SHORT	PAYABLE	RECEivable
			SWP TOTALS	0	0	TOTALS 0.00 0.00

ARED:

DESK SIGN OFF:

OPS MANAGER SIGN OFF:

CASH RECEIVED

CLAIMS PAID

DJ JOURNAL POSTED

JOURNAL NUMBERS UPDATED

DATE: 06/03/15

FILE CLOSED  
CLOSER:

ovozymes A/S

NZYMB DC

ISIN: DK0060336014

SEDOL: B798FW0

COUNTRY: DK

EX DATE: 26/02/2015

REC DATE: 27/02/2015

PAY DATE: 02/03/2015

CCY: DKK

GROSS RATE: 3.00

FEE: 0.00

DATE:

C2/F1/NQ/PL/SP

N/A

## GENT POSITIONS

CLIENT ACCOUNT	CLIENT NAME	DIV %	LONG HLDNG	SHORT HLDNG	PAYABLE	RECEivable	CLAIM?	NOTES
MERIC-INVGR	AMERICAN INVESTMENT GROUP OF NY LP PENSION PLAN	73%	750,000 ✓		1,642,500.00			
OLDEST-LAWGP	The Goldstein Law Group PC 401(k) Profit Sharing Plan FBO She	73%	558,000 ✓		1,222,020.00			
NDEN-ADBPL	Linden Associates Defined Benefit Plan	73%	557,000 ✓		1,219,830.00			
00024-00330	Equity Finance v. DB (SER)	73%	750,000		1,642,500.00			
0DFDUB-EDFDU	ED&F Man Professional Trading (Dubai) Limited	73%		-750,000		-1,642,500.00		
00028-00000	Equity Finance v. DB (SER)	73%		-750,000		-1,642,500.00		
ENDKK-DIVDK	SEB Depot 05295142806	73%		-3,115,000		-2,441,850.00		
	TOTALS		2,615,000	-2,615,000	TOTALS	5,726,850.00	-5,726,850.00	

## SECURITIES LENDING POSITIONS

SL CLIENT ACCOUNT	SL CLIENT NAME	SL DIV %	LONG HLDNG	SHORT HLDNG	PAYABLE	RECEivable	CLAIM?	NET STK LOAN AMNT	PYMNT V/D	PYMNT JRNL NO
JACQUA-MACQU	MACQUARIE BANK LIMITED	85%	1,115,000		2,843,250.00					
OLLEN-FUNDO	POLLEN FUND LIMITED	100%	10,327,636		30,982,908.00		YES			
UTOPA-AUTOP	Autoparts Pensions Group Trust	73	2,534,434			-7,602,302.00				
ASTPE-GRPTR	Casting Pensions Group Trust	73	2,577,034			-7,731,102.00				
CLIENT-HEDGE	Client Hedges	85%	-3,115,000			-2,842,250.00				
TECHO-00000	Central Technologies Pensions Group Trust	73	2,557,784			-7,673,352.00				
INDUST-PENGP	Industrial Pension Group Trust	73	2,658,384			-7,975,352.00				
	SL TOTALS		11,442,636	-11,442,636	TOTALS	33,826,158.00	-33,826,158.00			

## SWAP POSITIONS

SWP CLIENT ACCOUNT	SWP CLIENT NAME	DIV %	SWAP LONG	SWAP SHORT	PAYABLE	RECEivable		
			SWP TOTALS	0	0	TOTALS	0.00	0.00

ARED:

DESK SIGN OFF:

OPS MANAGER SIGN OFF:

IT CASH RECEIVED

CLAIMS PAID

B1 JOURNAL POSTED

JOURNAL NUMBERS UPDATED

DATE:

FILE CLOSED

CLOSER: